FORM PTO (REV 11-98)	8)	ATTORNEY'S DOCKET NUMBER
T	TRANSMITTAL LETTER TO THE UNITED STATES	S004-3848 (PCT)
	DESIGNATED/ELECTED OFFICE (DO/EO/US)	U.S. AT PICE TO NOT ALL MOVE SOUTH CONTROL
WILES)	CONCERNING A FILING UNDER 35 U.S.C. 371 NATIONAL APPLICATION NO. INTERNATIONAL FILING DATE	0,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
PCT,	/JP99/02393 07 May 1999 (07.05.99)	PRIORITY DATE CLAIMED 11 May 1998 (11.05.98)
	OF INVENTION NEAR-FIELD OPTICAL HEAD	
	CANT(S) FOR DOVEO/US Manabu OUMI et al.	
Applicar 1.	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 3 This express request to begin national examination procedures (35 U.S.C. 371(b)) at any examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and A proper Demand for International Preliminary Examination was made by the 19th mon	35 U.S.C. 371. ny time rather than delay nd PCT Articles 22 and 39(1). onth from the earliest claimed priority date. national Bureau). iving Office (RO/US). 2)). 19 (35 U.S.C. 371(c)(3))
8.	c. have not been made; however, the time limit for making such amendm d. have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). A translation of the annexes to the International Preliminary Examination Report (35 U.S.C. 371(c)(5)). 11. to 16. below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	2. 371(c)(3)).
2.		
3. 🔲	An assignment document for recording. A separate cover sheet in compliance we a FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment.	with 37 CFR 3.28 and 3.31 is included.
4. 🔲	A substitute specification.	
	A change of power of attorney and/or address letter.	
6. 🛛	Other items or information:	
	Form PCT/RO/101; Form PCT/IB/301; Form PCT/IB/308; Patent Cover Page; and Form PCT/ISA/210	

430 Rec'd PCT/PTO 0 7 JAN 2000

U.S. APPLICATION NO. (I	77777	ATTORNEYS DOCKET NUMBER S004-3848 (PCT)							
17. X The fo	llowing fees are	C/	LCULATIONS	PTO USE ONLY					
BASIC NATION Neither internation or international and International	NAL FEE (37 Conational preliminonal search fee (onal Search Reppreliminary exaunternational Sea								
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but all claims	preliminary exa s did not satisfy								
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	ENTER	S	840.00	;					
Surcharge of \$13 months from the	0.00 for furnishing earliest claimed	s							
CLAIMS	NUMBER		NUMBER EXTRA	· RATE					
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Independent claims		- 3 = 1	. 0	X \$78.00 + \$260.00	S	260.00			
MULTIPLE DEP	ENDENT CLAIM		OF ABOVE CALCUL		\ <u>\$</u>	1100.00			
Reduction of 1/2 must also by file	for filing by sm	s	2200,00						
SUBTOTAL =						1100.00			
Processing fee of months from the	f \$130.00 for fur e earliest claimed	s							
months from the earliest claimed priority date (37 CFR 1.492(1)). + TOTAL NATIONAL FEE =						1100.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property									
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·					Ar	nount to be: refunded	S		
·						charged	\$		
a. X A check in the amount of \$\frac{1100.00}{}\text{ to cover the above fees is enclosed.}									
	Please charge my Deposit Account No in the amount of \$ to cover the above feed A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-0268. A duplicate copy of this sheet is enclosed.									
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									
SEND ALL CORRI			YE . H	9Un 9					
	. Adams,	SIBNATI	/						
						íce L. Adams			
50 Broadway-31st Floor New York, NY 10004 25					386				
REGISTY					KATION NUMBER				

Re: New patent application of Manabu OUMI et al.

for NEAR-FIELD OPTICAL HEAD

comprising transmittal letter, title, specification (pgs. 1-32), nine claims (pgs.29-31), abstract (pg.32), nine sheets of formal drawings containing thereon Figs. 1-10, Forms PCT/RO/101, PCT/IB/301, PCT/IB/308, Patent Cover Page, Form PCT/ISA/210, express mail certification and check in the amount \$1100.00

Attorney's Docket No: S004-3848(PCT)

EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

Express Mail Tracking Number: EF 894 199 094 US

Date of Deposit: JANUARY 7, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to Box PCT, ASSISTANT COMMISSIONER OF PATENTS, Washington, DC 20231.

Donna Riccardulli